

ASSURANCES – CONSTRUCTION PROGRAMS Standard Form 424D (Rev. 7-97)

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Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET, SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capabilities (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794) which, prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a and 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuming compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1966 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
APPLICANT ORGANIZATION	DATE SUBMITTED

THE NATIONAL PARK SERVICE'S ENVIRONMENTAL SCREENING WORKSHEET (NEPA) – Upload as pdf

A. PROJECT INFORMATION

Project Name: [Click here to enter text.](#)

Project Address: [Click here to enter text.](#)

Project Originator/Coordinator: [Click here to enter text.](#)

Subgrantee/Owner: [Click here to enter text.](#)

National Register Status: [Click here to enter text.](#)

B. PROJECT DESCRIPTION/LOCATION

Describe the project [Click here to enter text.](#)

C. AREA OF POTENTIAL EFFECTS

Define your Area of Potential Effects. These are the geographic or spatial limits of the project impacts, including staging areas and adjacent properties that might be affected by a change in viewshed or project noise or vibration, for example. : [Click here to enter text.](#)

D. RESOURCE EFFECTS TO CONSIDER

Consider the context, duration and intensity of effects on resources.

Are any measurable impacts possible on the following physical, natural or cultural resources?

	Yes?	No?	Data Needed to Determine?
1. Geological resources – soils, bedrock, streambeds, etc.	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed
2. Air quality	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed
3. Soundscapes	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed
4. Water quality or quantity	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed
5. Streamflow characteristics	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed
6. Marine or estuarine resources	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed
7. Floodplains or wetlands	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed
8. Land use, including occupancy, income, type of use	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed
9. Rare or unusual vegetation, old growth timber, riparian	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed
10. Species of special concern (plant/animal/state or Federal listed or proposed for listing) or habitat	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed
11. Unique ecosystems, biosphere reserves, World Heritage	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed
12. Unique or important wildlife or wildlife habitat	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed
13. Unique or important fish or fish habitat	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed
14. Introduction/promotion of non-native species	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Data Needed

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|--|------------------------------|-----------------------------|--------------------------------------|
| 15. Recreation resources, including supply, demand, visitation, activities, etc. | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 16. Socioeconomics, including employment, occupation, income changes, tax base, infrastructure | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 17. Minority and low-income populations, ethnography, size, migration patterns, etc. | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 18. Energy resources | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 19. Other agency, or tribal, land use plans or policies | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 20. Resource, including energy, conservation potential | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
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E. MANDATORY CRITERIA: If implemented, would the proposal?

- | | | | |
|---|------------------------------|-----------------------------|--------------------------------------|
| 1. Have material adverse effects on public health or safety? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 2. Have adverse effects on such unique characteristics as historic or cultural resources; park, recreation, or refuge lands; wilderness areas; wild or scenic rivers; national natural landmarks; sole or principal drinking water aquifers; prime farmlands; wetlands; floodplains; or ecologically significant or critical areas, including those listed on the National Register or Natural Landmarks? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 3. Have highly controversial environmental effects? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 4. Have highly uncertain and potentially negative environmental effects or involve unique or unknown environmental risks? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 5. Establish a precedent for future action or represent a decision in principle about future actions with potentially significant environmental effects? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 6. Be directly related to other actions with individually insignificant, but cumulatively significant, environmental effects? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 7. Have adverse effects on properties listed or eligible for listing on the National Register of Historic Places? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 8. Have adverse effects on species listed or proposed to be listed on the List of Endangered or Threatened Species, or have adverse effects on designated Critical Habitat for these species? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 9. Violate a federal law, or a state, local, or tribal law or requirement imposed for the protection of the environment? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 10. Have a disproportionate, significant adverse effect on low income or minority populations (EO 12898)? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |
| 11. Limit access to and ceremonial use of Indian sacred sites by Indian religious practitioners or adversely affect the physical integrity of such sacred sites (EO 130007)? | <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> Data Needed |

12. Contribute to the introduction, continued existence, or spread of federally listed noxious weeds (Federal Noxious Weed Control Act). Contribute to the introduction, continued existence, or spread of non-native invasive species or actions that may promote the introduction, growth or expansion of the range of nonnative invasive species (EO 13112)?

Yes No Data Needed

*****If you check "YES" to any of the above listed criteria you cannot claim a CE and must complete either an EA or EIS for your project to proceed. Please answer the following question:**

F. SITE VISIT

Is the person preparing this form familiar with the site, and/or has a site visit been conducted?

CERTIFICATION PAGE - Print, sign and upload as pdf.

1. In making this application for Federal assistance under the provisions of the National Historic Preservation Act of 1966, I hereby acknowledge my familiarity with the requirements listed in the REvitalizeMe *Grant Manual*. I agree that should I receive the Federal assistance herein requested, I will carry out the project according to Federal requirements. I certify that I am authorized to submit this application.

 Signature

Date

Name & Title (Typed or Printed)

In Witness Thereof:

 Notary Public

Applicant organization name

2. I certify that I am willing to place a preservation agreement on the property for a term of not less than 15 years. (Development projects only.) I acknowledge that grant funding can be used for costs associated with documenting the property for the preservation agreement and that these costs can be included in the project budget.

 Signature of Property Owner

Date

Name & Title (Typed or Printed)

In Witness Thereof:

 Notary Public

Owner Address

3. I agree to have the property listed in the National Register of Historic Places prior to the end of the project. I have read the guidance on listing the property in the REvitalizeME *Grant Manual* and understand that this process is governed by a process and timeframe separate from the grant project. I acknowledge that grant funding, if awarded, can be used to prepare the National Register of Historic Places nomination and that these costs can be included in the budget. Check here if the property is already listed: .

 Signature of Property Owner

Date

Name & Title (Typed or Printed)

In Witness Thereof:

 Notary Public

Owner Address

4. This is to certify that the applicant understands that, if funded, this project will be directed by personnel meeting the minimum professional credentials for the relevant disciplines stipulated in 36 CFR Part 61.

 Signature

Date

Please attach the following supporting documents as part of this PDF:

4. Estimates from contractors, consultants
5. Resumes for contracted labor and/ or project professionals (if known)
6. Project photos
7. Plans and Specifications (architectural and/or engineering)
8. Other